

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

IIOO NEW YORK AVENUE, N.W., SUITE 600 WASHINGTON, D.C. 20005-3934

www.skgf.com

PHONE: (202) 371-2600 FACSIMILE: (202) 371-2540

ROBERT GREENE STERNE EDWARD J. KESSLER JORGE A. GOLDSTEIN SAMUEL L. FOX DAVID K.S. CORNWELL ROBERT W. ESMOND TRACY-GENE G. DURKIN MICHAEL B. RAY ROBERT E. SOKOHL ERIC K. STEFFE MICHAEL O. LEE

STEVEN·R. LUDWIG
JOHN M. COVERT*
LINDA E. ALCORN
RAZ E. FLESHNER
ROBERT C. MILLONIG
MICHAEL V. MESSINGER
JUDITH U. KIM
TIMOTHY J. SHEA, JR.
DONALD R. MCPHAIL
PATRICK E. GARRETT
STEPHEN G. WHITESIDE
JEFFREY T. HELVEY*

HEIDI L. KRAUS
JEFFREY R. KURIN
RAYMOND MILLIEN
PATRICK D. O'BRIEN
LAWRENCE B. BUGAISKY
CRYSTAL D. SAYLES*
EOWARD W. YEE
ALBERT L. FERRO*
DONALD R. BANOWIT
PETER A. JACKMAN
MOLLY A. MCCALL
TERESA U. MEDLER

JEFFREY S. WEAVER
KRISTIN K. VIDOVICH
KENDRICK P. PATTERSON
DONALD J. FEATHERSTONE
GRANT E. REED
VINCENT L. CAPUANO
JOHN A. HARROUN*
MATTHEW M. CATLETT*
NATHAN K. KELLEY*
ALBERT J. FASULO II *
W. BRIAN EDGE*

KAREN R. MARKOWICZ**
SUZANNE E. ZISKA**
BRIAN J. DEL BUONO**
ANDREA J. KAMAGE**
NANCY J. LEITH**
TARJA H. NAUKKARINEN**

*BAR OTHER THAN D.C.
**REGISTERED PATENT AGENTS

August 4, 2000

WRITER'S DIRECT NUMBER: (202) 789-5509

Commissioner for Patents Washington, D.C. 20231

Re:

. . .

U.S. Utility Patent Application

Appl. No. 09/263,689; Filed: March 5, 1999

For: Galectin 9 and 10SV Polypeptides (As Amended)

Inventors:

Ni et al.

Our Ref:

1488.0560002/EKS/SGW

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal Form (PTO/SB/17) (in duplicate);
- 2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1) (in duplicate);
- 3. Reply to Restriction Requirement and Third Preliminary Amendment;
- 4. Our Check No. 28210 in the amount of \$110.00 to cover the Extension of time within one month fee (37 C.F.R. § 1.16); and
- 5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents August 4, 2000 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Stephen G. Whiteside Attorney for Applicants Registration No. 42,224

Enclosures

056-2.REPLY.RR.TRANS.WPD

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE f information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of it

FEE	TRANSMITTAL
	for EV 2000

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO SB/0902.

See 37 C.F.R. 68 1 27 and 1 29

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$) 110.00

Complete if Known						
pplication Number	09/263,689					
Fling Date	March 5, 1999					
First Named Inventor	Jian Ni					
Examiner Name	Sun-Hoffman, L.					
Group / Art Unit	1642					
Attorney Docket Number	1488.0560002/EKS/SGW					

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		ΠΟΝΑL F	EES				
Deposit Account Number 19-0036							
	Large Fee	Entity Fee	Small Fee	Entity Fee		Fee	
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	Code	(\$)	Code	(\$)	Fee Description	Paid	
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		130	205		Surcharge - late filing fee or oath		
		50	227	25	Surcharge - late provisional filing fee or cover sheet		
2 🕅		130	139	130	Non-English specification		
2. ☑ Payment Enclosed: ☑ Check ☐ Money Order ☒ Other* *Charge any deficiencies or credit any overpayments in	147	2,520	147	2,520	For filing a request for reexamination		
Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below		920	112		Requesting publication of SIR prior to Examiner a	ction	
to Deposit Account No. 19-0036.							
FEE CALCULATION	113	1,840*	113	1,040	Requesting publication of Sin arter Examiner active	"	
1	115	110	215	55	Extension for reply within first month	110.00	
1. BASIC FILING FEE	116	380	216	190	Extension for reply within second month		
Large Entity Small Entity	117	870	217	435	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	118	1,360	218	680	Extension for reply within fourth month		
101 690 201 345 Utility filing fee	128	1,850	228	925	Extension for reply within fifth month		
· · · · · · · · · · · · · · · · · · ·	119	300	219	150	Notice of Appeal		
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal		
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing		
108 690 208 334 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	, [
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$)		1,210	241	605	Petition to revive - unintentional		
	142	1,210	242	605	Utility issue fee (or reissue)		
	143 144	430	243	215	Design issue fee	<u> </u>	
2. EXTRA CLAIM FEES Fee from		580	244	290	Plant issue fee		
Extra below Fee Paid Total Claims 20** = X =	122	130	122	130	Petitions to the Commissioner		
Indep. Claims 3** = X =	123	50	123	50	Petitions related to provisional applications	,	
Multiple Dependent =	126	240	126	240	Submission of Information Disclosure Stm	, -	
** or number previously paid, if greater; For Reissues, see below	120	240	120	240	Submission of information bisclosure out.	·	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)		40	581	40	Recording each patent assignment per property (times number of properties)		
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))		
102 78 202 39 Independent claims in excess of 3	149	690	249	345	For each additional invention to be examin	ed	
104 260 204 130 Multiple dependent claim	170	000	274	5-15	(37 CFR 1.129(b))	~~	
108 78 209 39 **Reissue independent claims over original patent	Other fee ((specify) :					
110 18 210 9 **Reissue claims in excess of 20 and	Other fee ((specify) :					
over original patent	*Reduced by Basic Filing Fee Paid						
SUBTOTAL (2) (\$)				SUBTOTAL (3) (\$)	110.00		
SUBMITTED BY Complete (If applicable)							
Typed or Stephen G. Whiteside					Reg. Number 42,224		

WARNING:

Signature

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Date

00

Deposit Acct. User ID